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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/811,589	03/29/2004	Eric Tomasetti	TR-6132 (BXTC 4021)	2100
7.	590 09/01/2005		EXAMINER	
Mr. Joseph B. Barrett			MCCLELLAND, KIMBERLY K	
Baxter Healthc	are Corporation			
One Baxter Parkway, DF3-2W			ART UNIT	PAPER NUMBER
Deerfield, IL 60015			1734	

DATE MAILED: 09/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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1	Application No.	Applicant(s)	<del>- (/)</del> -			
	10/811,589	TOMASETTI ET AL.				
Office Action Summary	Examiner	Art Unit				
	Kimberly K. McClelland	1734				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the	correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) o will apply and will expire SIX (6) MONTHS fro c, cause the application to become ABANDO	timely filed days will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 29 March 2004.						
2a) This action is <b>FINAL</b> . 2b) This action is non-final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
	ex parts quayro, roos s.s,					
Disposition of Claims	•	•				
4) ☐ Claim(s) 1-22 is/are pending in the application 4a) Of the above claim(s) is/are withdra  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1-22 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or	wn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Example 11.	epted or b) objected to by the drawing(s) be held in abeyance. Stion is required if the drawing(s) is	See 37 CFR 1.85(a). objected to. See 37 CFR 1.121(d	<b>)</b> .			
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of: <ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(c)						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summa Paper No(s)/Mail 5) Notice of Informa 6) Other:					

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### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

- 1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:
  - (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 1-8,16, and 17 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by U.S. Patent Application Publication No. 2003/0143352 A1 to Yang et al.

With respect to claim 1, Yang et al. discloses a method for connecting flexible tubing wherein the tubing is placed in an axial end-to-end position (See Figures 2A and 2B), using a laser directed to heat the tube ends (paragraph 0068), and bringing them into contact with each other (paragraph 0071).

As to claim 2, Yang et al. is silent as to the temperature of the tubing ends before the laser is activated. However, in order for the tubing to be in a solid state prior to the welding process, the temperature of the tubing ends must be below the melting temperature of the material forming the tubing section. Yang et al. discloses that the laser melts the tubing (paragraph 0069).

As to claim 3, Yang et al. discloses the use of a material (film) to absorb energy from the laser at the tube ends (paragraph 0072).

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As to claim 4, Yang et al. discloses a sheet of material (film), which has a high concentration of dye to absorb energy of the laser (paragraph 0072).

As to claim 5, Yang et al. discloses that the tubing material is substantially transparent (not laser responsive) to the electromagnetic beam (paragraph 0127).

As to claim 6, Yang et al. discloses that the tubing sections are brought into contact (paragraph 0071) and flow outward when heated (paragraph 0072).

As to claim 7, Yang et al discloses that dye may be applied to the tube ends (areas to be joined) that are welded by the laser (paragraph 0129).

As to claim 8, Yang et al. discloses that the tubing sections are brought into contact (paragraph 0071).

As to claim 16, Yang et al. discloses that the tubing sections are brought into contact (paragraph 0071), and flow outward (paragraph 0072).

As to claim 17, Yang et al. discloses that a laser is directed at the tube ends (paragraph 0068).

## Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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4. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2003/0143352 A1 to Yang et al. in view of U.S. Patent No. 5,674,333 to Spencer.

Yang et al. discloses a method for connecting two pieces of tubing as disclosed above. Yang et al. also discloses that all the welding method is carried out in the axial position (See Figures 4A-4F). However, Yang does not disclose cutting off end portions of the tubing sections.

As to claim 9, Spencer discloses an apparatus for welding together two sections of tubing, including a method of cutting of end sections of tubing prior to welding (column 3, lines 14-15). However, Spencer teaches this method while the tube sections are in a bent configuration. It would have been obvious to one having ordinary skill in the art at the time the invention was made to use a method of cutting the tubing sections prior to welding, as taught by Spencer, with the laser welding method of Yang et al. in the axial position in order to ensure proper connection during welding.

5. Claims 10-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang et al. and Spencer as applied to claim 9 above, and further in view of U.S. Patent No 4,832,773 to Shaposka et al.

Yang et al. and Spencer disclose a method of welding tubing sections together.

Spencer also teaches the method of squeezing the tubing sections to reopen the

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passage (column 3, lines 38-40). However, Yang et al. and Spencer do not disclose the clamping of the tubing.

As to claim 10, Shaposka et al. discloses a method for connecting sections of tubing, including clamping the cut (pre-cut) tubing sections (column 3, lines 48-51). It would have been obvious to one having ordinary skill in the art at the time the invention was made to use a method of clamping the tubing sections, as taught by Shaposka et al., with the laser welding method of Yang et al. and Spencer to keep the tubing sections stationary.

As to claim 11, Yang et al. discloses sealing the tube ends prior to welding the tubing sections together (paragraph 0072).

As to claim 12, Yang et al. discloses the use of a weld block (drum head) to absorb energy from the laser and combine with the tube (paragraph 0072).

As to claim 14, Spencer teaches the movement of welded tubing (weld sample) from one location (device) to a remote location (vise column 3, line 62- column 4, line 12)

As to claim 13, Spencer teaches the method of squeezing the tubing sections to reopen the passage (column 3, lines 38-40).

As to claim 15, Yang et al. discloses that all the welding method is carried out in the axial position (See Figures 4A-4F).

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6. Claims 18-20, and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2003/0143352 A1 to Yang et al. in view of U.S. Patent No. 6,860,960 B1 to Flanagan.

Yang et al. discloses the method of laser welding an end cap film to a plastic tube, where the laser is directed at the energy absorption member (end cap), while the end cap is in contact with the tube (paragraph 0132). Yang et al. does not disclose melting the tubing section during this process.

As to claim 18, Flanagan discloses a similar laser welding process, where the tubing material may be partially melted during the process (See Flanagan abstract). It would have been obvious to one having ordinary skill in the art at the time the invention was made to use a method of allowing the tubing section to partially melt, with the laser welding method of Yang et al. to solidify and form a fusion bond (See Flanagan abstract).

As to claim 19, Yang et al. discloses the use of materials for end caps, which have low thermal conductivity (paragraph 0133). "Low" conductivity is a relative term, which carries little meaning without a reference point.

As to claim 20, Yang et al. discloses the use of a weld block (end cap) to absorb energy from the laser (See Figure 12 and paragraph 0132).

As to claim 22, Yang et al. discloses the use of a material (film) to absorb energy from the laser at the tube ends (paragraph 0072).

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7. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Yang et al. and Flanagan as applied to claims 18-20 and 22 above, and further in view of U.S. Patent No. 5,378,313 to Pace.

Yang et al. and Flanagan disclose a laser welding method as taught above.

Yang et al. also teaches that it is necessary to incorporate laser responsive components into materials to be bonded that are not laser responsive. However, Yang et al. and Flanagan do not teach the use of glass or polytetrafluoroethylene laser responsive materials.

As to claim 21, Pace teaches that energy absorbing fillers, such as glass (column 5, line 20) may be used to form a laser responsive material (column 5, lines 21-22). It would have been obvious to one having ordinary skill in the art at the time the invention was made to use the glass taught by Pace with the end caps of the laser welding method of Yang et al. and Flanagan to absorb the energy of the electromagnetic beam (column 5, lines 23-24).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimberly K. McClelland whose telephone number is (571) 272-2372. The examiner can normally be reached on 8:00 a.m.-5 p.m. Mon-Fri..

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chris A. Fiorilla can be reached on (571)272-1187. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Kim McCellard

KKM